

**LECTURE NOTES ON**  
**INTERNET OF THINGS**

**Prepared by**  
**NUSHRAT**  
**BARI**

**DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING**

## UNIT-1

### INTRODUCTION OF IOT

IoT comprises things that have unique identities and are connected to internet. By 2020 there will be a total of 50 billion devices /things connected to internet. IoT is not limited to just connecting things to the internet but also allow things to communicate and exchange data.

#### **Definition:**

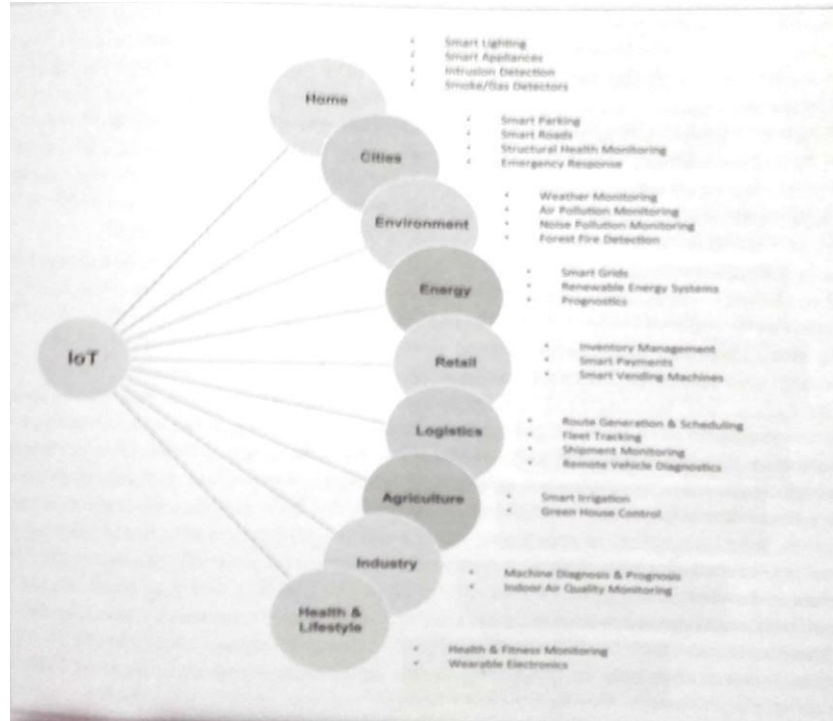
A dynamic global n/w infrastructure with self configuring capabilities based on standard and interoperable communication protocols where physical and virtual -things have identities, physical attributes and virtual personalities and use intelligent interfaces, and are seamlessly integrated into information n/w, often communicate data associated with users and their environments.

#### **Characteristics:**

- 1) **Dynamic & Self Adapting:** IoT devices and systems may have the capability to dynamically adapt with the changing contexts and take actions based on their operating conditions, user\_s context or sensed environment.  
**Eg:** the surveillance system is adapting itself based on context and changing conditions.
- 2) **Self Configuring:** allowing a large number of devices to work together to provide certain functionality.
- 3) **Inter Operable Communication Protocols:** support a number of interoperable communication protocols and can communicate with other devices and also with infrastructure.
- 4) **Unique Identity:** Each IoT device has a unique identity and a unique identifier (IP address).
- 5) **Integrated into Information Network:** that allow them to communicate and exchange data with other devices and systems.

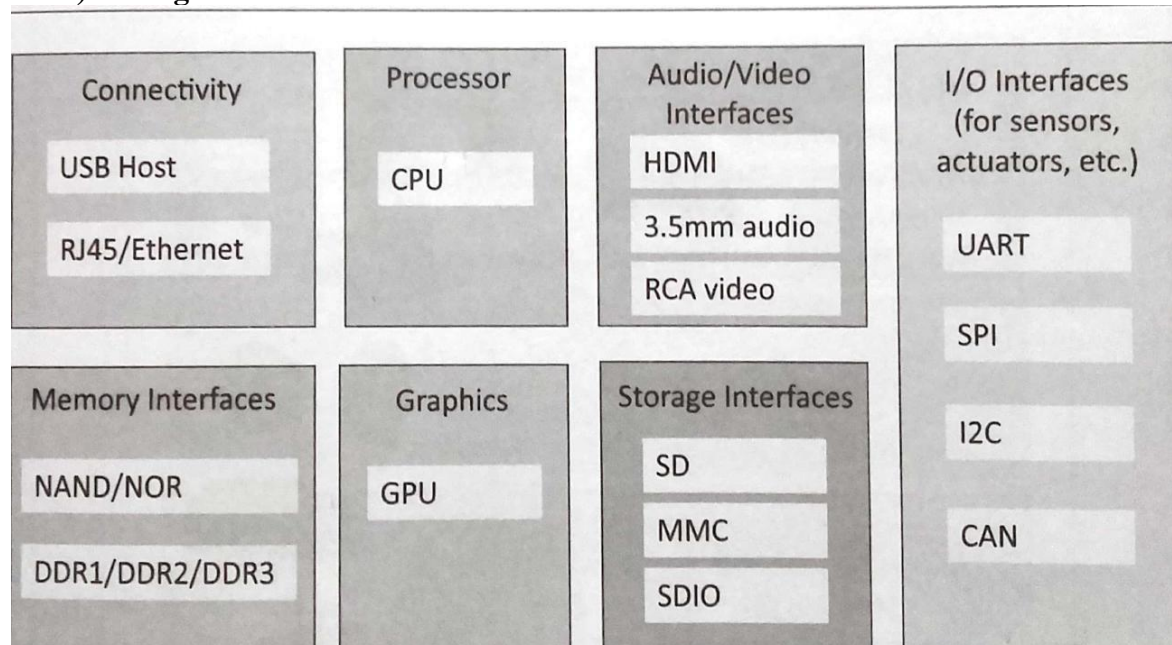
#### **Applications of IoT:**

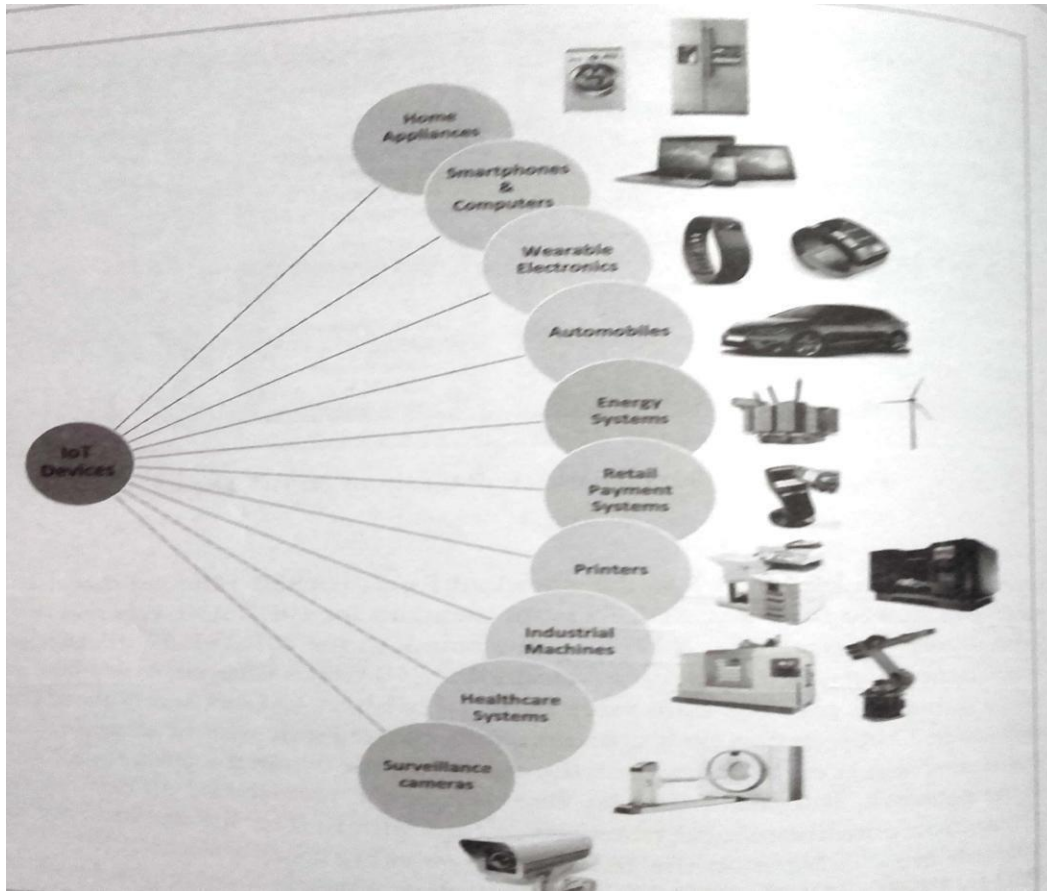
- 1) Home
- 2) Cities
- 3) Environment
- 4) Energy
- 5) Retail
- 6) Logistics
- 7) Agriculture
- 8) Industry
- 9) Health & Life Style



## Physical Design of IoT

### 1) Things in IoT:

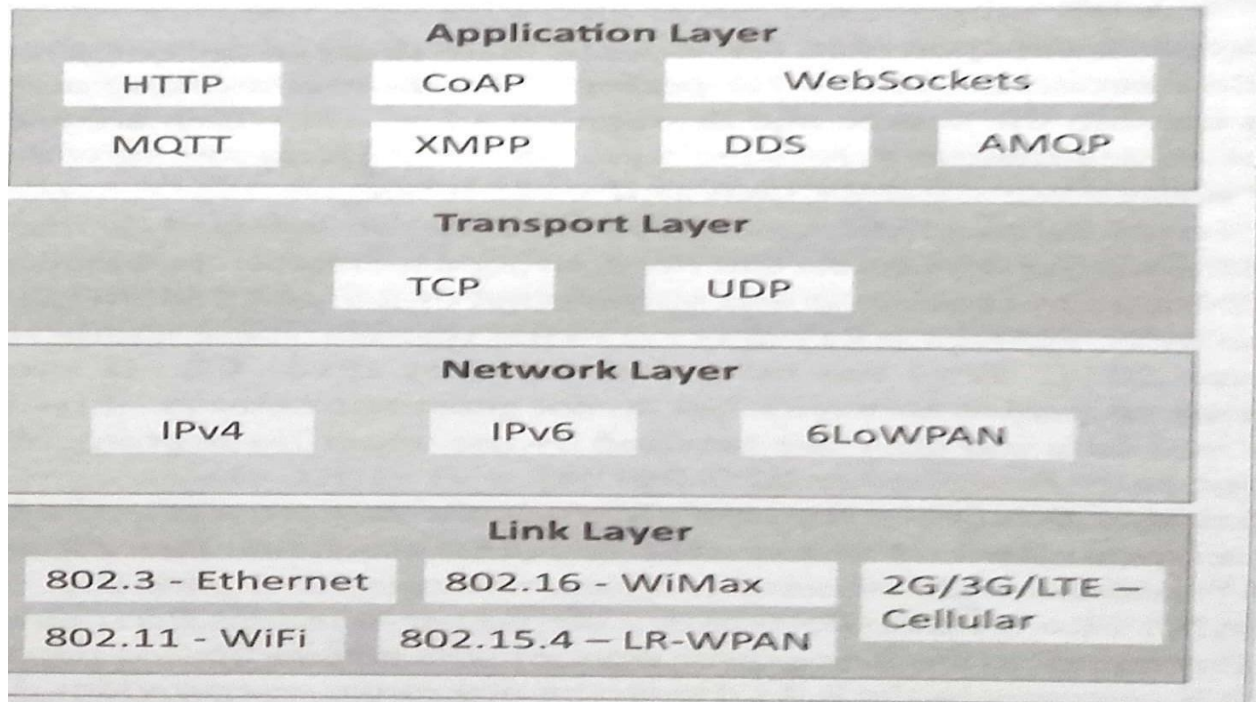




The things in IoT refers to IoT devices which have unique identities and perform remote sensing, actuating and monitoring capabilities. IoT devices can exchange data with other connected devices applications. It collects data from other devices and process data either locally or remotely. An IoT device may consist of several interfaces for communication to other devices both wired and wireless. These includes (i) I/O interfaces for sensors, (ii) Interfaces for internet connectivity (iii) memory and storage interfaces and (iv) audio/video interfaces.

## 2) IoT Protocols:

- a) **Link Layer :** Protocols determine how data is physically sent over the network's physical layer or medium. Local network connect to which host is attached. Hosts on the same link exchange data packets over the link layer using link layer protocols. Link layer determines how packets are coded and signaled by the h/w device over the medium to which the host is attached.



#### Protocols:

- 802.3-Ethernet: IEEE802.3 is collection of wired Ethernet standards for the link layer. Eg: 802.3 uses co-axial cable; 802.3i uses copper twisted pair connection; 802.3j uses fiber optic connection; 802.3ae uses Ethernet over fiber.
- 802.11-WiFi: IEEE802.11 is a collection of wireless LAN(WLAN) communication standards including extensive description of link layer. Eg: 802.11a operates in 5GHz band, 802.11b and 802.11g operates in 2.4GHz band, 802.11n operates in 2.4/5GHz band, 802.11ac operates in 5GHz band, 802.11ad operates in 60Ghzband.
- 802.16 - WiMax: IEEE802.16 is a collection of wireless broadband standards including exclusive description of link layer. WiMax provide data rates from 1.5 Mb/s to 1Gb/s.
- 802.15.4-LR-WPAN: IEEE802.15.4 is a collection of standards for low rate wireless personal area network(LR-WPAN). Basis for high level communication protocols such as ZigBee. Provides data rate from 40kb/s to 250kb/s.
- 2G/3G/4G-Mobile Communication: Data rates from 9.6kb/s(2G) to up to 100Mb/s(4G).

B) **Network/Internet Layer:** Responsible for sending IP datagrams from source n/w to destination n/w. Performs the host addressing and packet routing. Datagrams contains source and destination address.

#### Protocols:

- **IPv4:** Internet Protocol version4 is used to identify the devices on a n/w using a hierarchical addressing scheme. 32 bit address. Allows total of  $2^{32}$  addresses.
- **IPv6:** Internet Protocol version6 uses 128 bit address scheme and allows  $2^{128}$  addresses.

- **6LOWPAN:**(IPv6overLowpowerWirelessPersonalAreaNetwork)operates in 2.4 GHz frequency range and data transfer 250 kb/s.

C) **Transport Layer:** Provides end-to-end message transfer capability independent of the underlying n/w. Set up on connection with ACK as in TCP and without ACK as in UDP. Provides functions such as error control, segmentation, flow control and congestion control.

**Protocols:**

- **TCP:** Transmission Control Protocol used by web browsers(along with HTTP and HTTPS), email(along with SMTP, FTP). Connection oriented and stateless protocol. IP Protocol deals with sending packets, TCP ensures reliable transmission of protocols in order. Avoids n/w congestion and congestion collapse.
- **UDP:** User Datagram Protocol is connectionless protocol. Useful in time sensitive applications, very small data units to exchange. Transaction oriented and stateless protocol. Does not provide guaranteed delivery.

D) **Application Layer:** Defines how the applications interface with lower layer protocols to send data over the n/w. Enables process-to-process communication using ports.

**Protocols:**

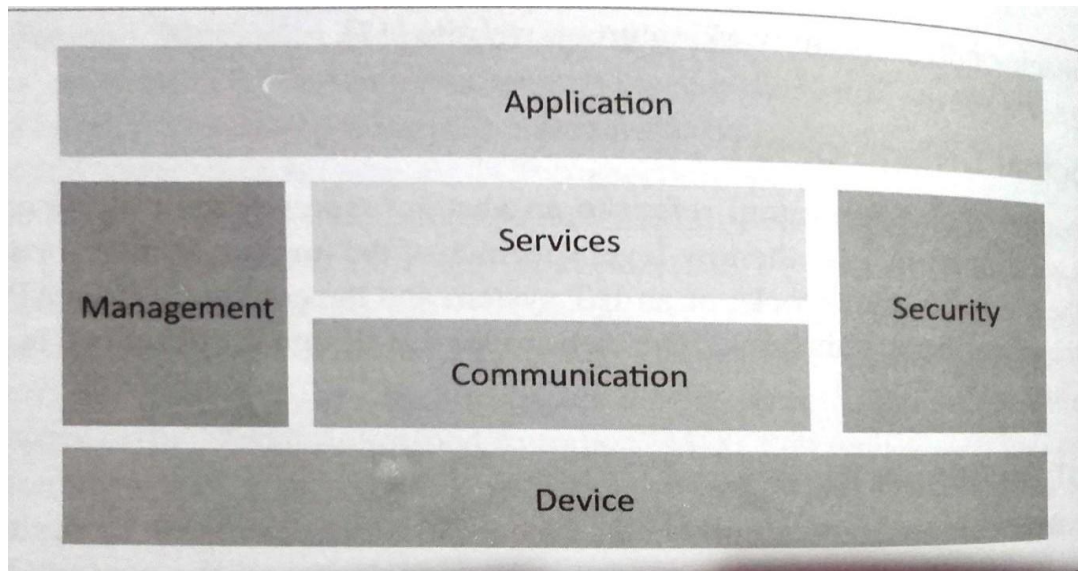
- **HTTP:** Hyper Text Transfer Protocol that forms foundation of WWW. Follow request-response model Stateless protocol.
- **CoAP:** Constrained Application Protocol for machine-to-machine (M2M) applications with constrained devices, constrained environment and constrained n/w. Uses client- server architecture.
- **WebSocket:** allows full duplex communication over a single socket connection.
- **MQTT:** Message Queue Telemetry Transport is light weight messaging protocol based on publish-subscribe model. Uses client server architecture. Well suited for constrained environment.
- **XMPP:** Extensible Message and Presence Protocol for real time communication and streaming XML data between network entities. Support client-server and server-server communication.
- **DDS:** Data Distribution Service is data centric middleware standards for device-to-device or machine-to-machine communication. Uses publish-subscribe model.
- **AMQP:** Advanced Message Queuing Protocol is open application layer protocol for business messaging. Supports both point-to-point and publish-subscribe model.

**LOGICAL DESIGN of IoT**

Refers to an abstract represent of entities and processes without going into the low level specifics of implementation.

1) IoT Functional Blocks 2) IoT Communication Models 3) IoT Comm. APIs

- 1) **IoT Functional Blocks:** Provide the system the capabilities for identification, sensing, actuation, communication and management.



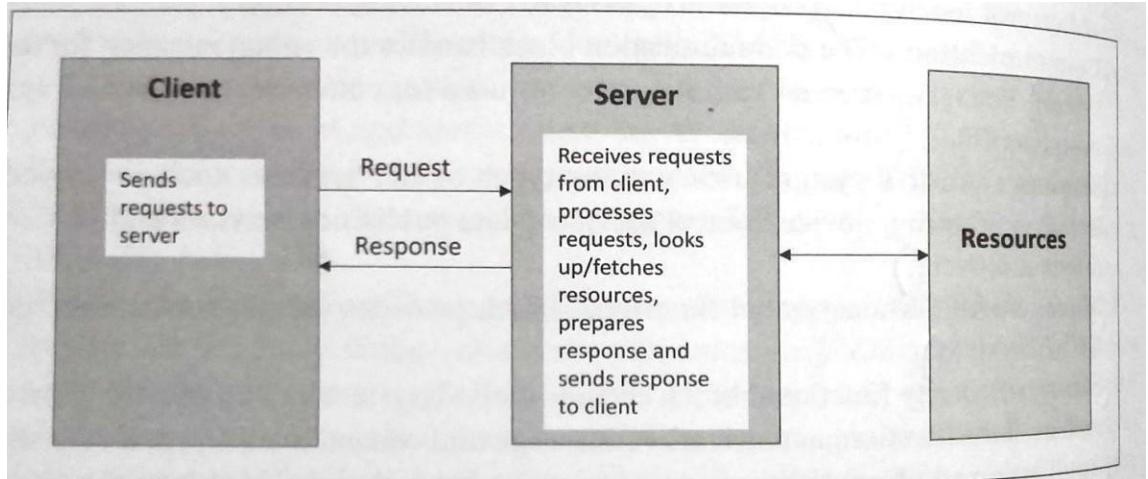
- **Device:** An IoT system comprises of devices that provide sensing, actuation, monitoring and control functions.
- **Communication:** handles the communication for IoTsystem.
- **Services:** for device monitoring, device control services, data publishing services and services for device discovery.
- **Management:** Provides various functions to govern the IoT system.
- **Security:** Secures IoT system and priority functions such as authentication ,authorization, message and context integrity and data security.
- **Application:** IoT application provide an interface that the users can use to control and monitor various aspects of IoT system.

## 2) IoT Communication Models:

1) Request-Response 2) Publish-Subscribe 3)Push-Pull4) ExclusivePair

### 1) Request-Response Model:





In which the client sends request to the server and the server replies to requests. Is a stateless communication model and each request-response pair is independent of others.

## 2) Publish-Subscribe Model:

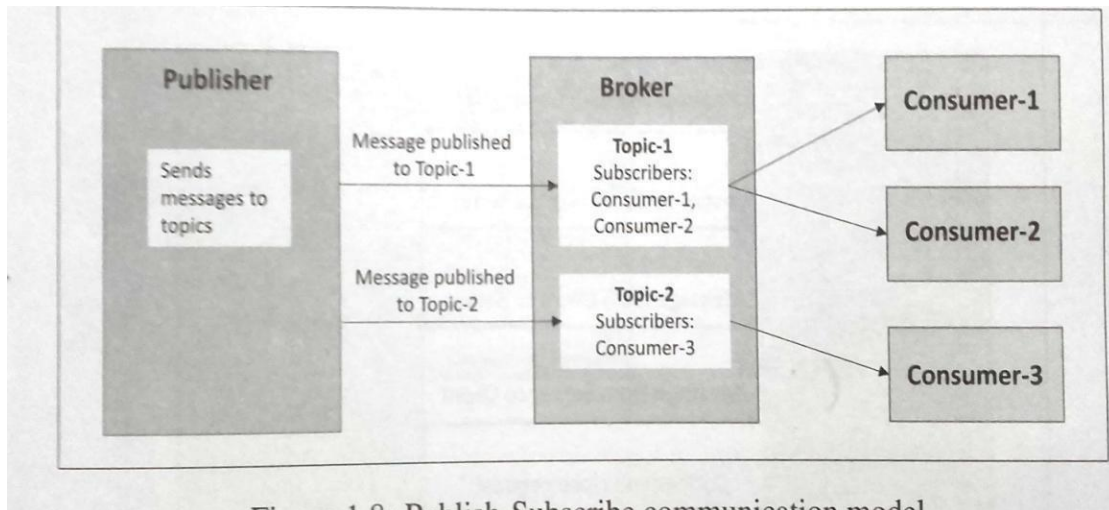
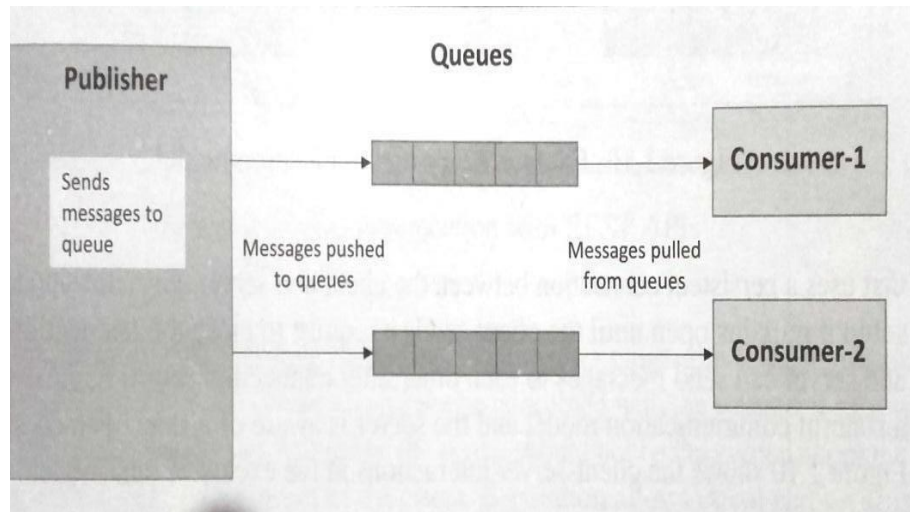


Figure 1.9: Publish-Subscribe communication model

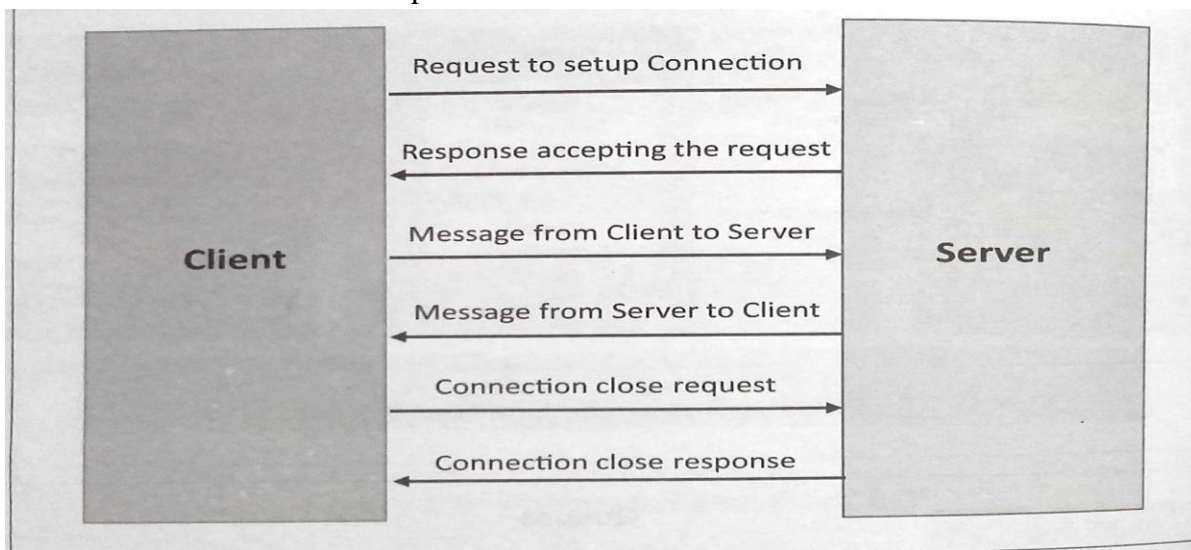
Involves publishers, brokers and consumers. Publishers are source of data. Publishers send data to the topics which are managed by the broker. Publishers are not aware of the consumers. Consumers subscribe to the topics which are managed by the broker. When the broker receives data for a topic from the publisher, it sends the data to all the subscribed consumers.

- 3) **Push-Pull Model:** in which data producers push data to queues and consumers pull data from the queues. Producers do not need to aware of the consumers. Queues help in decoupling the message between the producers and consumers.





- 4) **Exclusive Pair:** is bi-directional, fully duplex communication model that uses a persistent connection between the client and server. Once connection is set up it remains open until the client send a request to close the connection. Is a stateful communication model and server is aware of all the open connections.



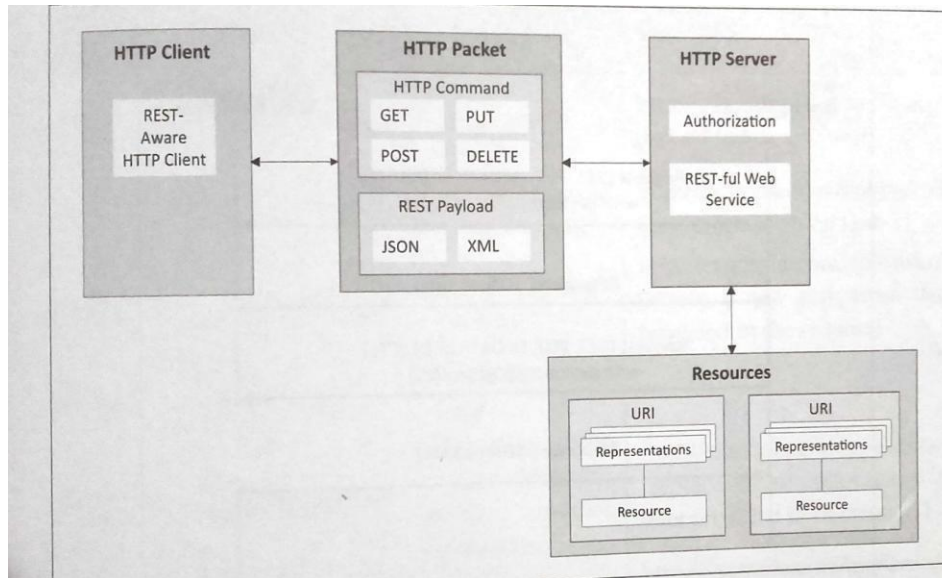
### 3) IoT Communication APIs:

a) **REST based communication APIs(Request-Response Based Model)**

b) **WebSocket based Communication APIs(Exclusive PairBased Model)**

a) **REST based communication APIs:** Representational State Transfer(REST) is a set of architectural principles by which we can design web services and web APIs that focus on a system's resources and have resource states are addressed and transferred.

**The REST architectural constraints:** Fig. shows communication between client server with REST APIs.



**Client-Server:** The principle behind client-server constraint is the separation of concerns. Separation allows client and server to be independently developed and updated.

**Stateless:** Each request from client to server must contain all the info. Necessary to understand the request, and cannot take advantage of any stored context on the server.

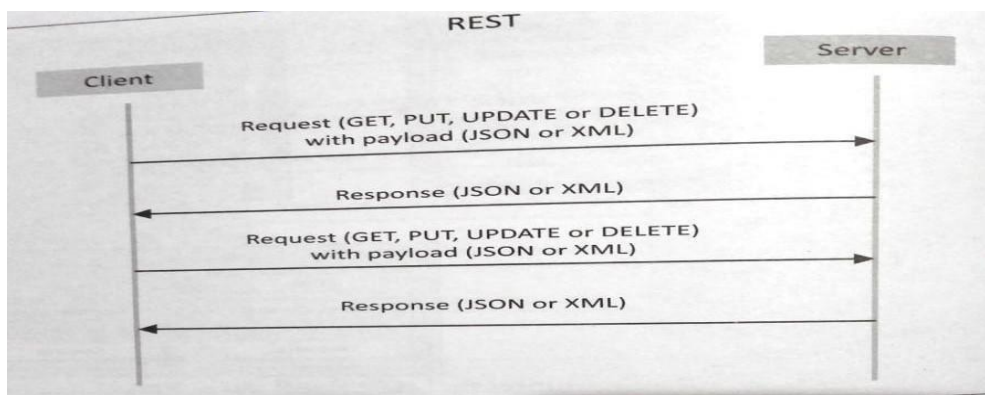
**Cache-able:** Cache constraint requires that the data within a response to a request be implicitly or explicitly labeled as cache-able or non-cacheable. If a response is cache-able, then a client cache is given the right to reuse that response data for later, equivalent requests.

**Layered System:** constraints the behavior of components such that each component cannot see beyond the immediate layer with which they are interacting.

**User Interface:** constraint requires that the method of communication between a client and a server must be uniform.

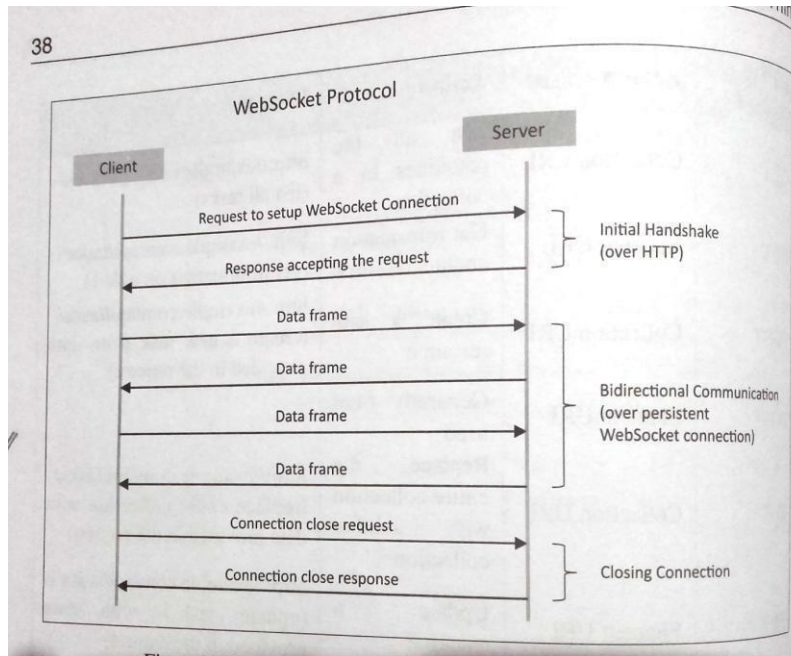
**Code on Demand:** Servers can provide executable code or scripts for clients to execute in their context. This constraint is the only one that is optional.

### Request-Response model used by REST:



RESTful web service is a collection of resources which are represented by URIs. RESTful web API has a base URI(e.g: <http://example.com/api/tasks/>). The clients and requests to these URIs using the methods defined by the HTTP protocol(e.g: GET, PUT, POST or DELETE). A RESTful web service can support various internet media types.

- b) **WebSocket Based Communication APIs:** WebSocket APIs allow bi-directional, full duplex communication between clients and servers. WebSocket APIs follow the exclusive pair communication model.



### IoT Enabling Technologies

IoT is enabled by several technologies including Wireless Sensor Networks, Cloud Computing, Big Data Analytics, Embedded Systems, Security Protocols and architectures, Communication Protocols, Web Services, Mobile internet and semantic search engines.

- 1) **Wireless Sensor Network(WSN):** Comprises of distributed devices with sensors which are used to monitor the environmental and physical conditions. Zig Bee is one of the most popular wireless technologies used by WSNs.

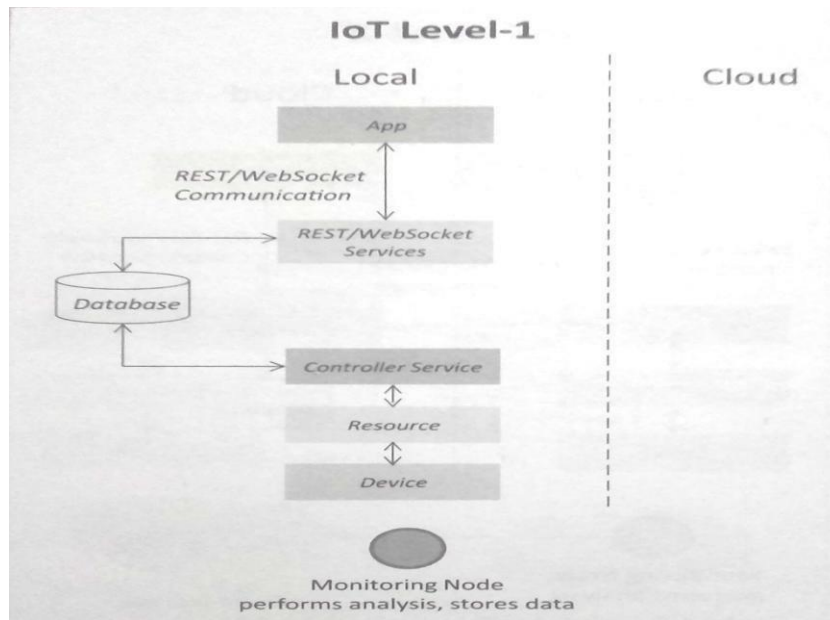
WSNs used in IoT systems are described as follows:

- **Weather Monitoring System:** in which nodes collect temp, humidity and other data, which is aggregated and analyzed.
- **Indoor air quality monitoring systems:** to collect data on the indoor air quality and concentration of various gases.
- **Soil Moisture Monitoring Systems:** to monitor soil moisture at various locations.
- **Surveillance Systems:** use WSNs for collecting surveillance data (motion data detection).
- **Smart Grids :** use WSNs for monitoring grids at various points.

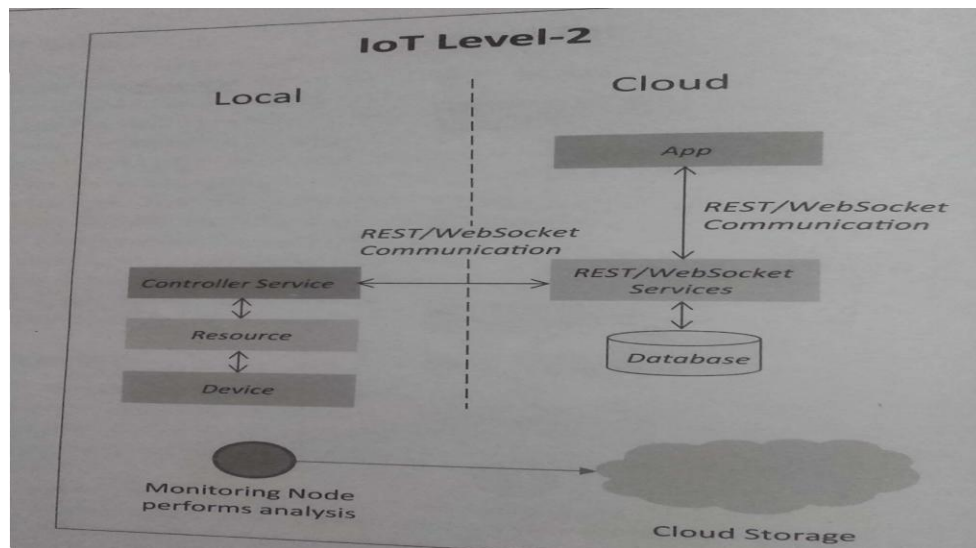
- Structural Health Monitoring Systems: Use WSNs to monitor the health of structures (building, bridges) by collecting vibrations from sensor nodes deployed at various points in the structure.
- 2) **Cloud Computing:** Services are offered to users in different forms.
    - Infrastructure-as-a-service(IaaS): provides users the ability to provision computing and storage resources. These resources are provided to the users as a virtual machine instances and virtual storage.
    - Platform-as-a-Service(PaaS): provides users the ability to develop and deploy application in cloud using the development tools, APIs, software libraries and services provided by the cloud service provider.
    - Software-as-a-Service(SaaS): provides the user a complete software application or the user interface to the application itself.
  - 3) **Big Data Analytics:** Some examples of big data generated by IoT are
    - Sensor data generated by IoT systems.
    - Machine sensor data collected from sensors established in industrial and energy systems.
    - Health and fitness data generated IoT devices.
    - Data generated by IoT systems for location and tracking vehicles.
    - Data generated by retail inventory monitoring systems.
  - 4) **Communication Protocols:** form the back-bone of IoT systems and enable network connectivity and coupling to applications.
    - Allow devices to exchange data over network.
    - Define the exchange formats, data encoding addressing schemes for device and routing of packets from source to destination.
    - It includes sequence control, flow control and retransmission of lost packets.
  - 5) **Embedded Systems:** is a computer system that has computer hardware and software embedded to perform specific tasks. Embedded System range from low cost miniaturized devices such as digital watches to devices such as digital cameras, POS terminals, vending machines, appliances etc.,

### **IoT Levels and Deployment Templates**

- 1) **IoT Level1:** System has a single node that performs sensing and/or actuation, stores data, performs analysis and host the application as shown in fig. Suitable for modeling low cost and low complexity solutions where the data involved is not big and analysis requirement are not computationally intensive. An e.g., of IoT Level1 is Home automation.

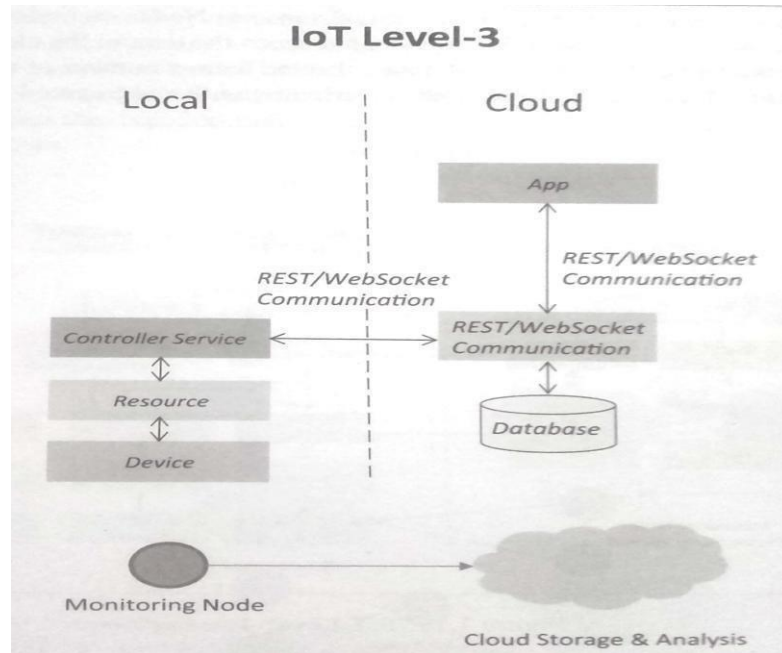


- 2) **IoT Level2:** has a single node that performs sensing and/or actuating and local analysis as shown in fig. Data is stored in cloud and application is usually cloud based. Level2 IoT systems are suitable for solutions where data are involved is big, however, the primary analysis requirement is not computationally intensive and can be done locally itself. An e.g., of Level2 IoT system for Smart Irrigation.

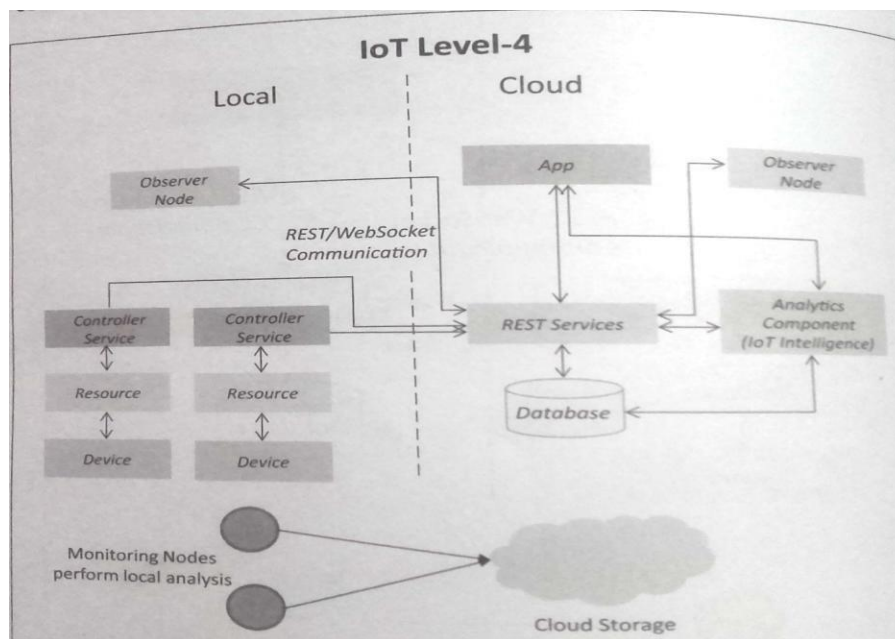


- 3) **IoT Level3:** system has a single node. Data is stored and analyzed in the cloud application is cloud based as shown in fig. Level3 IoT systems are suitable for solutions where the data involved is big and analysis requirements are computationally intensive. An example of IoT level3 system for tracking package handling.





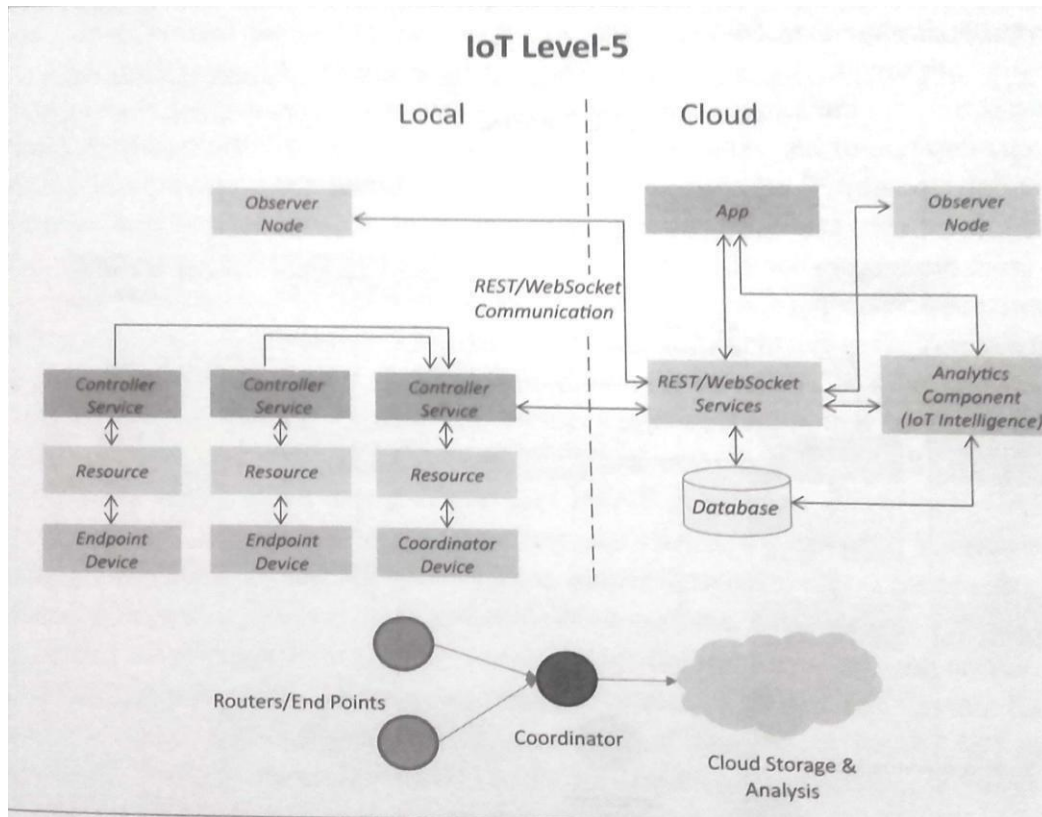
- 4) **IoT Level4:** System has multiple nodes that perform local analysis. Data is stored in the cloud and application is cloud based as shown in fig. Level4 contains local and cloud based observer nodes which can subscribe to and receive information collected in the cloud from IoT devices. An example of a Level4 IoT system for Noise Monitoring.



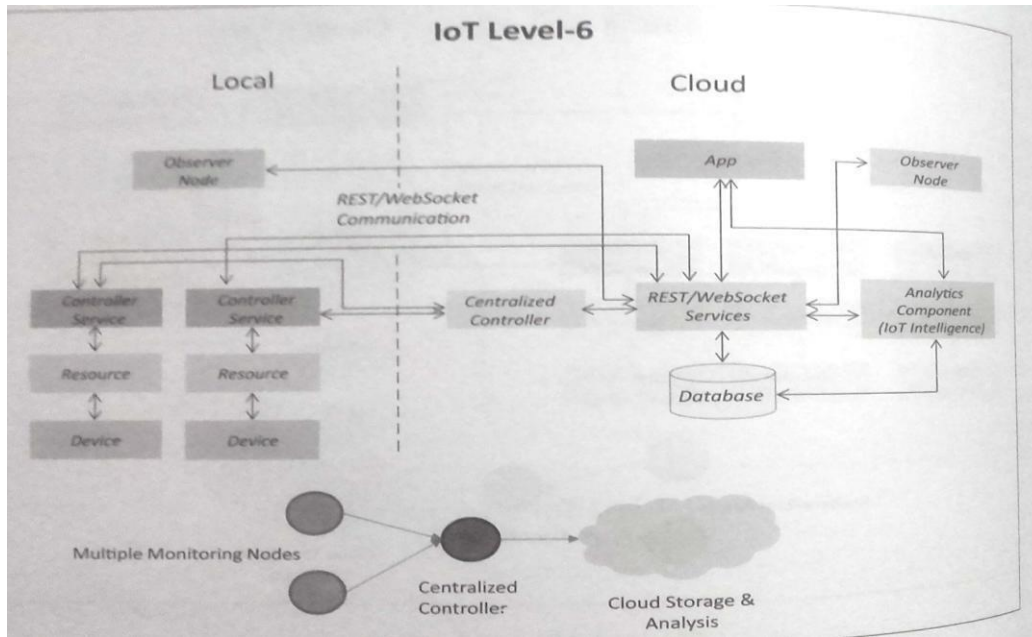
- 5) **IoT Level5:** System has multiple end nodes and one coordinator node as shown in fig. The end nodes that perform sensing and/or actuation. Coordinator node collects data from the end nodes and sends to the cloud. Data is stored and analyzed in the cloud and



application is cloud based. Level5 IoT systems are suitable for solution based on wireless sensor network, in which data involved is big and analysis requirements are computationally intensive. An example of Level5 system for Forest Fire Detection.



- 6) **IoT Level6:** System has multiple independent end nodes that perform sensing and/or actuation and sensed data to the cloud. Data is stored in the cloud and application is cloud based as shown in fig. The analytics component analyses the data and stores the result in the cloud data base. The results are visualized with cloud based application. The centralized controller is aware of the status of all the end nodes and sends control commands to nodes. An example of a Level6 IoT system for Weather Monitoring System.



## DOMAIN SPECIFIC IoTS

### 1) Home Automation:

- a) **Smart Lighting:** helps in saving energy by adapting the lighting to the ambient conditions and switching on/off or dimming the light when needed.
- b) **Smart Appliances:** make the management easier and also provide status information to the users remotely.
- c) **Intrusion Detection:** use security cameras and sensors (PIR sensors and door sensors) to detect intrusion and raise alerts. Alerts can be in the form of SMS or email sent to the user.
- d) **Smoke/Gas Detectors:** Smoke detectors are installed in homes and buildings to detect smoke that is typically an early sign of fire. Alerts raised by smoke detectors can be in the form of signals to a fire alarm system. Gas detectors can detect the presence of harmful gases such as CO, LPG etc.,

### 2) Cities:

- a) **Smart Parking:** make the search for parking space easier and convenient for drivers. Smart parking are powered by IoT systems that detect the no. of empty parking slots and send information over internet to smart application backends.
- b) **Smart Lighting:** for roads, parks and buildings can help in saving energy.
- c) **Smart Roads:** Equipped with sensors can provide information on driving condition, travel time estimating and alert in case of poor driving conditions, traffic condition and accidents.
- d) **Structural Health Monitoring:** uses a network of sensors to monitor the vibration levels in the structures such as bridges and buildings.
- e) **Surveillance:** The video feeds from surveillance cameras can be aggregated in cloud based scalable storage solution.

- f) **Emergency Response:** IoT systems for fire detection, gas and water leakage detection can help in generating alerts and minimizing their effects on the critical infrastructures.

### 3) **Environment:**

- a) **Weather Monitoring:** Systems collect data from a no. of sensors attached and send the data to cloud based applications and storage back ends. The data collected in cloud can then be analyzed and visualized by cloud based applications.
- b) **Air Pollution Monitoring:** System can monitor emission of harmful gases(CO<sub>2</sub>, CO, NO, NO<sub>2</sub> etc..) by factories and automobiles using gaseous and meteorological sensors. The collected data can be analyzed to make informed decisions on pollutions control approaches.
- c) **Noise Pollution Monitoring:** Due to growing urban development, noise levels in cities have increased and even become alarmingly high in some cities. IoT based noise pollution monitoring systems use a no. of noise monitoring systems that are deployed at different places in a city. The data on noise levels from the station is collected on servers or in the cloud. The collected data is then aggregated to generate noise maps.
- d) **Forest Fire Detection:** Forest fire can cause damage to natural resources, property and human life. Early detection of forest fire can help in minimizing damage.
- e) **River Flood Detection:** River floods can cause damage to natural and human resources and human life. Early warnings of floods can be given by monitoring the water level and flow rate. IoT based river flood monitoring system uses a no. of sensor nodes that monitor the water level and flow rate sensors.

### 4) **Energy:**

- a) **Smart Grids:** is a data communication network integrated with the electrical grids that collects and analyze data captured in near-real-time about power transmission, distribution and consumption. Smart grid technology provides predictive information and recommendations to utilities, their suppliers, and their customers on how best to manage power. By using IoT based sensing and measurement technologies, the health of equipment and integrity of the grid can be evaluated.
- b) **Renewable Energy Systems:** IoT based systems integrated with the transformers at the point of interconnection measure the electrical variables and how much power is fed into the grid. For wind energy systems, closed-loop controls can be used to regulate the voltage at point of interconnection which coordinate wind turbine outputs and provides power support.
- c) **Prognostics:** In systems such as power grids, real-time information is collected using specialized electrical sensors called Phasor Measurement Units(PMUs) at the substations. The information received from PMUs must be monitored in real-time for estimating the state of the system and for predicting failures.

### 5) **Retail:**

- a) **Inventory Management:** IoT systems enable remote monitoring of inventory using data collected by RFID readers.

- b) **Smart Payments:** Solutions such as contact-less payments powered by technologies such as Near Field Communication(NFC) and Bluetooth.
- c) **Smart Vending Machines:** Sensors in a smart vending machines monitors its operations and send the data to cloud which can be used for predictive maintenance.

6) **Logistics:**

- a) **Route generation & scheduling:** IoT based system backed by cloud can provide first response to the route generation queries and can be scaled upto serve a large transportation network.
- b) **Fleet Tracking:** Use GPS to track locations of vehicles inreal-time.
- c) **Shipment Monitoring:** IoT based shipment monitoring systems use sensors such as temp, humidity, to monitor the conditions and send data to cloud, where it can be analyzed to detect foods spoilage.
- d) **Remote Vehicle Diagnostics:** Systems use on-board IoT devices for collecting data on Vehicle operations(speed, RPMetc.,) and status of various vehicle subsystems.

7) **Agriculture:**

- a) **Smart Irrigation:** to determine moisture amount in soil.
- b) **Green House Control:** to improve productivity.

8) **Industry:**

- a) Machine diagnosis and prognosis
- b) Indoor Air Quality Monitoring

9) **Health and LifeStyle:**

- a) Health & Fitness Monitoring
- b) Wearable Electronics

## UNIT-II

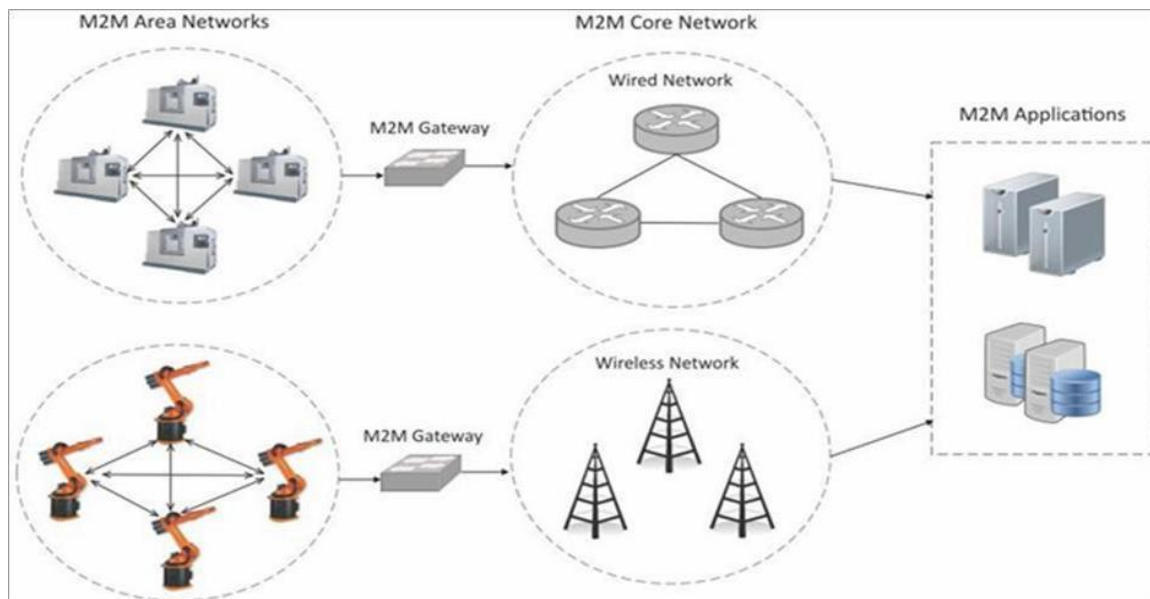
### IoT and M2M

#### M2M:

Machine-to-Machine (M2M) refers to networking of machines(or devices) for the purpose of remote monitoring and control and data exchange.

- Term which is often synonymous with IoT is Machine-to-Machine (M2M).
- IoT and M2M are often used interchangeably.

Fig. Shows the end-to-end architecture of M2M systems comprises of M2M area networks, communication networks and application domain.



- An M2M area network comprises of machines( or M2M nodes) which have embedded network modules for sensing, actuation and communicating various communication protocols can be used for M2M LAN such as ZigBee, Bluetooth, M-bus, Wireless M-Bus etc., These protocols provide connectivity between M2M nodes within an M2M area network.
- The communication network provides connectivity to remote M2M area networks. The communication network provides connectivity to remote M2M area network. The communication network can use either wired or wireless network(IP based). While the M2M are networks use either proprietary or non-IP based communication protocols, the communication network uses IP-based network. Since non-IP based protocols are used within M2M area network, the M2M nodes within one network cannot communicate with nodes in an external network.
- To enable the communication between remote M2M are network, M2M gateways are used.

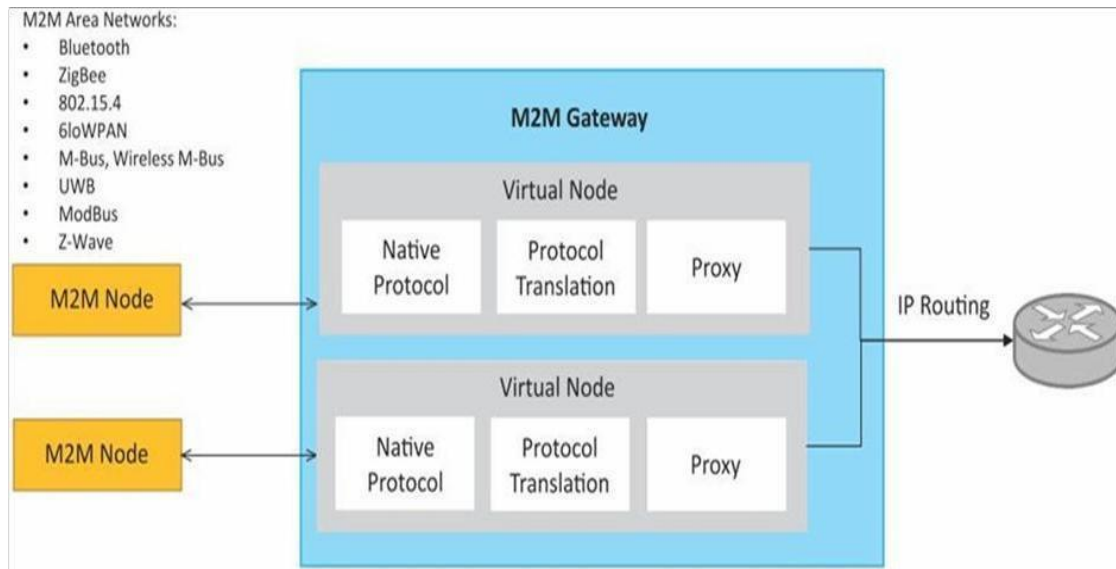


Fig. Shows a block diagram of an M2M gateway. The communication between M2M nodes and the M2M gateway is based on the communication protocols which are naive to the M2M area network. M2M gateway performs protocol translations to enable Ip-connectivity for M2M area networks. M2M gateway acts as a proxy performing translations from/to native protocols to/from Internet Protocol(IP). With an M2M gateway, each mode in an M2M area network appears as a virtualized node for external M2M area networks.

### Differences between IoT and M2M

#### 1) Communication Protocols:

- Commonly uses M2M protocols include ZigBee, Bluetooth, ModBus, M-Bus, Wireless M-Bus tec.,
- In IoT uses HTTP, CoAP, WebSocket , MQTT ,XMPP ,DDS ,AMQP etc.,

#### 2) Machines in M2M Vs Things in IoT:

- Machines in M2M will be homogenous whereas Things in IoT will be heterogeneous.

#### 3) Hardware Vs Software Emphasis:

- the emphasis of M2M is more on hardware with embedded modules, the emphasis of IoT is more on software.

#### 4) Data Collection & Analysis

- M2M data is collected in point solutions and often in on-premises storage infrastructure.
- The data in IoT is collected in the cloud (can be public, private or hybrid cloud).

#### 5) Applications

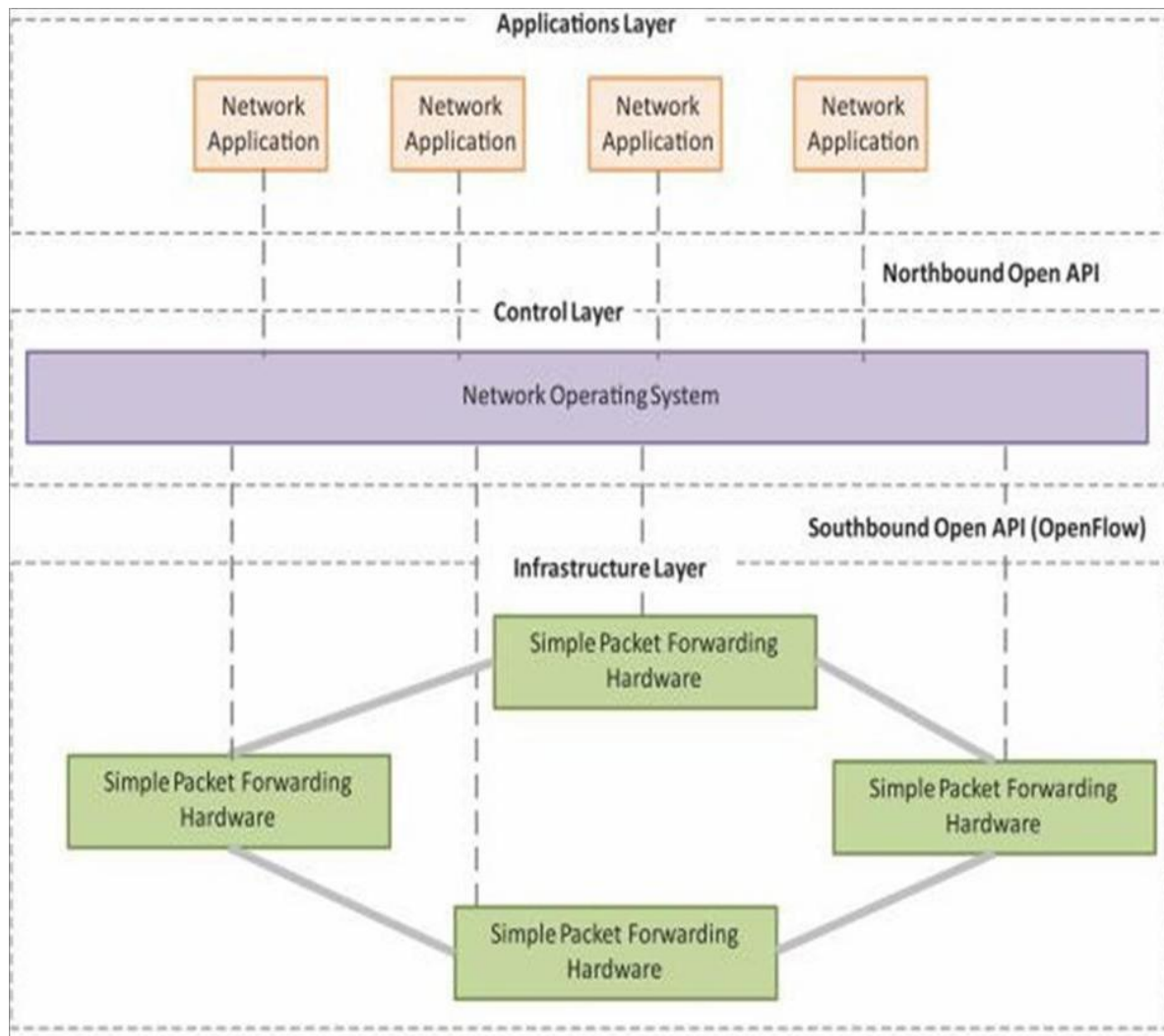


- M2M data is collected in point solutions and can be accessed by on-premises applications such as diagnosis applications, service management applications, and on- premissis enterprise applications.
- IoT data is collected in the cloud and can be accessed by cloud applications such as analytics applications, enterprise applications, remote diagnosis and management applications, etc.

## SDN and NVF for IoT

### Software Defined Networking(SDN):

- Software-Defined Networking (SDN) is a networking architecture that separates the control plane from the data plane and centralizes the network controller.
- Software-based SDN controllers maintain a united view of the network
- The underlying infrastructure in SDN uses simple packet forwarding hardware as opposed to specialized hardware in conventional networks.



## **SDN Architecture**

### **Key elements of SDN:**

#### **1) Centralized Network Controller**

With decoupled control and data planes and centralized network controller, the network administrators can rapidly configure the network.

#### **2) Programmable Open APIs**

SDN architecture supports programmable open APIs for interface between the SDN application and control layers (Northbound interface).

#### **3) Standard Communication Interface(OpenFlow)**

SDN architecture uses a standard communication interface between the control and infrastructure layers (Southbound interface). OpenFlow, which is defined by the Open Networking Foundation (ONF) is the broadly accepted SDN protocol for the Southbound interface.

### **Network Function Virtualization(NFV)**

- Network Function Virtualization (NFV) is a technology that leverages virtualization to consolidate the heterogeneous network devices onto industry standard high volume servers, switches and storage.
- NFV is complementary to SDN as NFV can provide the infrastructure on which SDN can run.